STATE OF NEW YORK
OFFICE OF THE ATTORNEY GENERAL

ELIOT SPITZER
Attorney General

Division of Public Advocacy
Investment Protection Bureau

interoffice MEMORANDUM

[redacted]

date: January 30, 2004

to: [redacted]

from: Diane Gatewood

re: Manuel Paul Asensio

Enclosed you will find a CRD report of the above named individual. This report reflects action taken by the NASD.

120 Broadway, New York, NY 10271
Securities Matters • (212) 416-8222 • Fax (212) 416-8816
Real Estate Matters • (212) 416-8100 • Fax (212) 416-8179

Registrations Summary

Individual CRD#: 1148811	Individual Name: ASENSIO, MANUEL P

Current Firm(s):

Registrations Summary With Current Employers

⚠No Current Employers Found.

Prior Firm(s):

Registrations Summary With Prior Employers

Firm Name	Firm CRD	Start Date	End Date	IARD Regs.	CRD Regs.	SFG Member
ASENSIO BROKERAGE SERVICES, INC	31742	02/1993	09/2003	N	N	N
GILFORD SECURITIES INCORPORATED	8076	07/1992	12/1992	N	N	N
MARQUETTE DE BARY CO., INC.	1612	12/1989	07/1990	N	N	N
LADENBURG, THALMANN & CO., INC.	505	06/1989	10/1989	N	N	N
LAIDLAW HOLDINGS, INC.	19018	05/1989	10/1989	N	N	N

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Registrations with Prior Employers

Firm CRD # : 31742	Firm Name : INTEGRAL SECURITIES, INC.

Employment Start Date	02/10/1993
Employment End Date	09/11/2003
Reason for Termination	Voluntary
Termination Comment	
Firm Name at Termination	ASENSIO BROKERAGE SERVICES, INC

Regulatory Authority	Registration Category	Filing Date	Status Date	Registration Status	Approval Date	Registration Status Note
NASD	ET	07/05/1999	09/11/2003	TERMED	09/23/1999	N
NASD	FN	07/05/1999	09/11/2003	TERMED	07/07/1993	N
NASD	GP	07/05/1999	09/11/2003	TERMED	02/13/2001	N
NASD	GS	07/05/1999	09/11/2003	TERMED	07/07/1993	N
NASD	MP	07/05/1999	09/11/2003	TERMED	07/12/1994	N
NASD	MR	07/05/1999	09/11/2003	TERMED	11/09/1993	N
NASD	OP	07/05/1999	09/11/2003	TERMED	07/20/1994	N
NY	AG	07/05/1999	09/11/2003	TERMED	11/02/1993	N